# Operator Pruning using Lifted Mutex Groups via Compilation on Lifted Level

#### Daniel Fišer

Saarland University, Saarland Informatics Campus, Saarbrücken, Germany danfis@danfis.cz

## Representations of Classical Planning Tasks

### PDDL – lifted representation

- Types: (:types vehicle location)
- Objects: (:objects car1 car2 vehicle A B C location)
- Predicates: (at ?x vehicle ?y location)
- Action: (move ?v vehicle ?f location ?t location)
- Initial state:  $\psi_I$ , Goal  $\psi_G$

#### STRIPS – ground representation

- Facts:  $\mathcal{F} = \{ (at car1 A), (at car1 B), ... \}$
- State:  $s = \{ (at car1 A), ... \} \subseteq \mathcal{F}$
- Operators  $\mathcal{O}$ ,  $o = \langle \operatorname{pre}(o) \subseteq \mathcal{F}, \operatorname{add}(o) \subseteq \mathcal{F}, \operatorname{del}(o) \subseteq \mathcal{F} \rangle$
- Initial state  $I \subseteq \mathcal{F}$ , Goal  $G \subseteq \mathcal{F}$ .



# Mutex Groups in STRIPS

## Mutex Group (in STRIPS)

A set of facts  $M \subseteq \mathcal{F}$  is a **mutex group** if  $|M \cap s| \le 1$  for every reachable state s.

## Fact-Alternating Mutex Group (in STRIPS)

A set of facts  $M \subseteq \mathcal{F}$  is a **fam-group** if  $|M \cap I| \le 1$  and  $|M \cap \operatorname{add}(o)| \le |M \cap \operatorname{pre}(o) \cap \operatorname{del}(o)|$  for every operator  $o \in \mathcal{O}$ .

## Barman: Example fam-groups

- $\bullet \ \operatorname{handempty}(\mathtt{hand}_1), \operatorname{holding}(\mathtt{hand}_1, \mathtt{shot}_1), \operatorname{holding}(\mathtt{hand}_1, \mathtt{shaker}_1)$
- $contains(shot_1, cocktail_1), clean(shot_1), used(shot_1, cocktail_1), used(shot_1, ingredient_1), used(shot_1, ingredient_2)$

## Pruning Unreachable Operators in STRIPS

Facts from a mutex group are pairwise mutex, i.e., they cannot appear together in any state.

## Barman: Example Pruning of Unreachable Operators

#### Mutex group:

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Operator fill-shot(\mathtt{shot}_1, \mathtt{i}, \mathtt{hand}_1, \mathtt{hand}_1, \mathtt{d}) o: \mathrm{pre}(o) = \{\mathtt{handempty}(\mathtt{hand}_1), \mathtt{holding}(\mathtt{hand}_1, \mathtt{shot}_1), \ldots \}
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Fam-groups can detect dead-end operators: Let o be an operator, let M be a fam-group. If  $M\cap G\neq\emptyset$  and  $M\cap\operatorname{pre}(o)\cap\operatorname{del}(o)\neq\emptyset$  and  $M\cap\operatorname{add}(o)=\emptyset$ , then o is a dead-end operator. (F & Komenda, 2018)

```
Fam-group M: contains(shot<sub>1</sub>, cocktail<sub>1</sub>), clean(shot<sub>1</sub>), used(shot<sub>1</sub>, cocktail<sub>1</sub>),... Goal G = \{ \text{contains}(\text{shot}_1, \text{cocktail}_1) \}. Operator empty-shot(hand<sub>1</sub>, shot<sub>1</sub>, cocktail<sub>1</sub>) o: pre(o) = \{ \text{holding}(\text{hand}_1, \text{shot}_1), \text{contains}(\text{shot}_1, \text{cocktail}_1) \} del(o) = \{ \text{contains}(\text{shot}_1, \text{cocktail}_1) \} add(o) = \{ \text{empty}(\text{shot}_1) \}
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#### Lifted fam-group:

• handempty(v: hand), holding(v: hand, c: conatiner) where v is **fixed** variable, c is **counted** variable.

- $\bullet \ \operatorname{handempty}(\mathtt{hand}_1), \operatorname{holding}(\mathtt{hand}_1, \mathtt{shot}_1), \operatorname{holding}(\mathtt{hand}_1, \mathtt{shaker}_1)$
- $\bullet \ \operatorname{handempty}(\mathtt{hand}_2), \operatorname{holding}(\mathtt{hand}_2, \mathtt{shot}_1), \operatorname{holding}(\mathtt{hand}_2, \mathtt{shaker}_1)$

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#### Unifier

A **substitution**  $\sigma$  is a function mapping variables and objects to variables and objects so that (i) it acts as identity on objects, and (ii) mapping from variables must respect types.

Given a set of atoms A, a substitution  $\sigma$  is call a **unifier for** A if  $\sigma(A)$  is a singleton.

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- fam-group: handempty(v: hand), holding(v: hand, c: conatiner)
- Action: fill-shot(s: shot, i: ingredient,  $\mathtt{hand}_1$ ,  $\mathtt{hand}_1$ , d: dispenser)  $\mathtt{pre}(a) = \{\mathtt{holding}(\mathtt{hand}_1, s), \mathtt{handempty}(\mathtt{hand}_1), \ldots\}$
- There is a unifier  $\sigma$ :  $\sigma(v) = \operatorname{hand}_1, \sigma(c) = s$  for both  $\{\operatorname{holding}(v,c), \operatorname{holding}(\operatorname{hand}_1,s)\}$  and  $\{\operatorname{handempty}(v), \operatorname{handempty}(\operatorname{hand}_1)\}.$

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# Experimental Evaluation: Percentage of Pruned Operators

## Percentage of Pruned Operators (IPC 2006–2018) (AAAI'20)

domain	#ps	unreach	+dead-end
agricola	20	0.00	0.00
barman*	74	15.42	45.95
citycar*	40	0.00	1.61
flashfill	18	0.10	0.10
floortile*	70	0.00	22.79
organic-synthesis*	7	11.44	23.11
parcprinter*	30	0.00	40.83
parking	80	3.09	3.09
scanalyzer	30	1.34	1.34
spider	15	3.47	3.47
trucks*	30	0.00	82.14
$woodworking^{\star}$	30	0.00	10.08
overall from above	444	3.52	21.52
overall	1247	1.25	7.66

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We need to modify the grounding algorithm.

### Unifier as a Formula

Given a substitution  $\sigma$  and a set of variables  $V \subset \mathcal{V}$ , we define:

$$\Phi_{\sigma,V}^{\text{var}} = \bigwedge_{\{v,w\} \in X} (v = w), \tag{1}$$

where  $X = \{\{v, w\} \subseteq V \mid v \neq w, \sigma v = \sigma w\};$ 

$$\Phi_{\sigma,V}^{\text{var-obj}} = \bigwedge_{v \in Y} (v = \sigma v), \tag{2}$$

where  $Y = \{v \in V \mid \sigma v \in \mathcal{B}\};$ 

$$\Phi_{\sigma,V}^{\text{subtype}} = \bigwedge_{v \in Z} \left( \bigvee_{o \in \mathcal{D}(\tau_{var}(\sigma v))} (v = o) \right), \tag{3}$$

where  $Z = \{v \in V \mid \sigma v \notin \mathcal{B}, \tau_{var}(\sigma v) \neq \tau_{var}(v)\};$  and

$$\Phi_{\sigma,V}^{\text{unifier}} = \Phi_{\sigma,V}^{\text{var}} \wedge \Phi_{\sigma,V}^{\text{var-obj}} \wedge \Phi_{\sigma,V}^{\text{subtype}}.$$
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 (4)

Formula  $\Phi_{\sigma,V}^{\mathrm{unifier}}$  captures unifier  $\sigma$  perfectly.

```
Lifted fam-group:
```

M = handempty(v : hand), holding(v : hand, c : conatiner)

Action fill-shot(s: shot, i: ingredient,  $h_1$ : hand,  $h_2$ : hand, d: dispenser)  $pre(o) = \{handempty(h_1), holding(h_2, s), \ldots\}$ 

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- Unifier  $\sigma_2$ ,  $\sigma_2(x) = \sigma_2(h_2) = y$ ,  $\sigma_2(c) = \sigma_2(s) = z$ , for  $\sigma_1\{\text{holding}(h_2, s), \text{holding}(v, c)\} = \{\text{holding}(h_2, s), \text{holding}(x, c)\}$

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- Therefore fill-shot $(s,i,h_1,h_2,d)$  is recognized as unreachable with M if and only if  $\Phi^{\mathrm{unifler}}_{\sigma_1,\{h_1\}} \wedge \Phi^{\mathrm{unifler}}_{\sigma_2\sigma_1,\{h_2,s\}}$  is true.

### Lifted fam-group:

M = handempty(v : hand), holding(v : hand, c : conatiner)

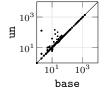
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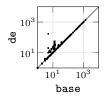
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- Therefore  $\mathrm{fill}\text{-shot}(s,i,h_1,h_2,d)$  is recognized as unreachable with M if and only if  $\Phi^{\mathrm{unifier}}_{\sigma_1,\{h_1\}} \wedge \Phi^{\mathrm{unifier}}_{\sigma_2\sigma_1,\{h_2,s\}}$  is true.
- Therefore, we can prune fill-shot by extending its preconditions with  $\neg(\Phi_{\sigma_1,\{h_1\}}^{\text{unifier}} \land \Phi_{\sigma_2\sigma_1,\{h_2,s\}}^{\text{unifier}}) = (h_1 \neq h_2).$



- The pruning power is exactly the same as before, but we do not need to modify the grounding algorithm.
- The pruning automatically carries to other methods, because it is directly encoded in the PDDL task. For example, successor generator for lifted planning.
- There is, however, a price to pay: The resulting formulas can inccur an exponential blow-up when normalized to conjunctions.

- un: unreachability, de: dead-ends
- 56 (HTG + Optimal and satisficing IPC) domains, 3 464 tasks
- 26 domains and 1 485 tasks affected by un
- 10 domains and 544 tasks affected by de





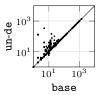


Figure: Number of normalized actions.

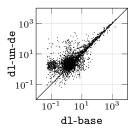
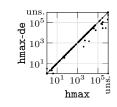
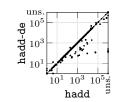


Figure: Translation time in seconds.

domain	blind base de		hmax base de		hadd base de		lz-hadd base de	
airport (50) barman (74) floortile (80) parcprinter (70) trucks (30) woodworking (98)	16 4 2 14 2 12	17 4 10 18 5 13	16 4 2 20 3 0	16 4 2 20 <b>4</b> 0	22 4 14 49 8 0	22 4 14 <b>57</b> <b>9</b> 0	21 <b>6</b> 13 49 9	21 5 <b>16</b> <b>57</b> <b>11</b> 0
others (142)	46	46	42	42	111	111	115	115
$\Sigma$ (544)	96	113	87	88	208	217	213	225

Table: Number of solved tasks.





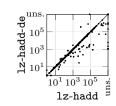


Figure: Number of dead-end states.

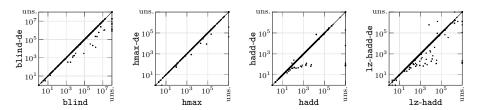


Figure: Number of expanded states (before the last f-layer for blind and hmax; all for hadd and lz-hadd).